

TRANSMITTAL
FORM

(No fee required for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/004,357

Filing Date

October 29, 2001

First Named Inventor

Linda A. Castle

Group Art Unit

1645

Examiner Name

Unassigned

Attorney Docket Number

02-107010US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☒ Preliminary Amendment☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence
Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)
(please identify below):Copy of response to missing parts
filed March 15, 2002, copy of
3/15/2002 acknowledgement
postcard, copy of notice of incomplete
reply and acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with
this paper or during the pendency of this application, including any extensions of time
for consideration of the documents enclosed.

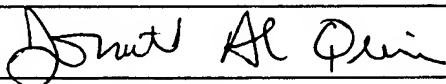
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group P.C.

Signature



Date

April 18, 2002

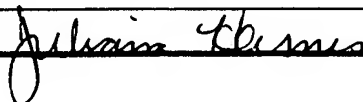
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 18, 2002

Typed or printed name

Juliana Hermes

Signature



Date

April 18, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on April 18, 2002

**COPY OF PAPERS
ORIGINALLY FILED**

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By

Juliana Hermes
Juliana Hermes

Attorney Docket No. 02-107010US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Linda A. Castle et al.

Application No.: 10/004,357

Filed: October 29, 2001

For: **NOVEL GLYPHOSATE N-ACETYL
TRANSFERASE (GAT) GENES**

Examiner: Unassigned

Art Unit: 1645

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF INCOMPLETE REPLY

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice of Incomplete Reply (Nonprovisional) - Filing Date Granted" dated April 9, 2002, enclosed are the following to be made of record in the above-identified application:

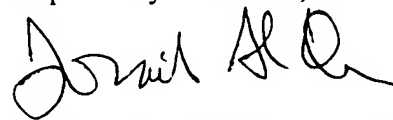
- enclosures;
- 1) Copy of Response to Notice of Missing Parts filed March 15, 2002 with
 - 2) Preliminary Amendment;
 - 3) Copy of Notice of Incomplete Reply;
 - 4) Copy of acknowledgement postcard sent with the March 15, 2002 submission and stamped received by the USPTO on March 25, 2002;
 - 5) Transmittal Sheet; and,
 - 6) Receipt indication postcard.

A response to the Notice of Missing Parts dated December 31, 2001 was filed on March 15, 2002, enclosing a signed declaration, powers of attorney from both assignees with statements under 37 CFR 3.73(b) and copies of the assignments, formal drawings, a cover letter, a transmittal sheet and a fee transmittal sheet. The sequence listing was mailed under separate cover to Box Sequence Listing, P.O. Box 2327, Arlington, VA 22202 as specified, due to current mail problems. The current Notice of Incomplete Reply dated April 9, 2002 indicates that a signed oath or declaration, formal drawings and an abstract are still required. Applicants believe that the current Notice of Incomplete Reply was based on the receipt of the sequence listing alone as the declaration and formal drawing were indeed submitted. Applicants are enclosing a copy of the complete response mailed to Box Missing Parts, Washington, DC 20231 on March 15, 2002 as well as the receipt acknowledgement postcard, which lists all enclosures and indicates the response was received by the Patent and Trademark Office on March 25, 2002.

Applicants acknowledge that the abstract, one of the items requested on the Notice of Missing Parts dated December 31, 2001, was missing from the response and a preliminary amendment inserting the abstract is enclosed herewith.

Applicant's believe that the response to the Notice of Missing Parts filed on March 15, 2002 was a bona fide response and therefore should have been granted a 30 day period to correct any defects in the response with no further extension fees due. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41.261

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
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(510) 337-7871
Fax (510) 337-7877



APR 29 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

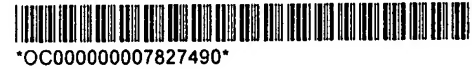
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004.357	10/29/2001	Linda A. Castle	02-107010US

CONFIRMATION NO. 3626

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

FORMALITIES LETTER



OC000000007827490

Date Mailed: 04/09/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/15/2002 to the Notice to File Missing Parts (Notice) mailed 12/31/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

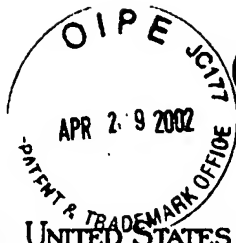
B/Z

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/11/2011 10:11:11 AM



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Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,357	10/29/2001	Linda A. Castle	02-107010US

CONFIRMATION NO. 3626

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

FORMALITIES LETTER



OC000000007244032

Date Mailed: 12/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$5446.
 - \$4410 for 245 total claims over 20.
 - \$756 for 9 independent claims over 3 .
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 6316.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE